

## Key: Delegation of Tasks for Clinical Research

This document defines the standard research tasks delegated to each role within the DF/HCC. Individuals are delegated the authority to perform the tasks appropriate for their role, as indicated below, unless otherwise noted on a protocol-specific delegation of authority log. Please note: this key is not all-inclusive; tasks/roles not captured here should be listed on the protocol-specific delegation of authority log.

Research Tasks	Physician	Physician Assistant (PA) / Nurse Practitioner (NP)	Research Nurse (RN)	Pharmacist	Coordinator <sup>1</sup>
<b>GENERAL ACTIVITIES</b>					
Assessment of inclusion/exclusion criteria	yes	Initial documentation: Requires evidence of confirmation by MD, before subject registration	Initial documentation; Requires evidence of confirmation by MD, before subject registration	no	no
Informed consent/assent	yes	Only when approved by the IRB	Only when approved by the IRB	no	Only when approved by the IRB
Vital signs	yes	yes	yes	no	As certified by institution
Physical exams	yes	yes	no	no	no
Medical history	yes	yes	Intake only; Medically focused evaluations done by MD/PA/NP	no	no
Orders for test article	yes	Per institutional policy - Second cycle and beyond; Requires co-signature of MD	no	no	no
Administration of test article	yes	yes	yes	no	no
DLT determination	yes	yes	Initial assessment; Requires evidence of confirmation by MD	no	no
Dose modification (including reductions, holds or restarts)	yes	yes	Initial assessment; Requires evidence of confirmation by MD	no	no
<b>ADVERSE EVENTS</b>					
Intake/documentation of symptoms	yes	yes	yes	no	no
Assessment of grade using CTCAE	yes	yes	Initial determination; Requires evidence of confirmation by MD, NP or PA	no	no

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Transcription of grades from CTCAE for abnormal laboratory results	yes	yes	yes	no	yes
Assessment of clinical significance	yes	yes	Initial determination; Requires evidence of confirmation by MD, NP or PA	no	no
Assessment of expectedness	yes	yes	Initial determination; Requires evidence of confirmation by MD, NP or PA	no	Identification based on Current Version of Investigator Drug Brochure; Requires evidence of confirmation by MD, NP, or PA
Assessment of relationship to test article (i.e., attribution/causality)	yes	yes	Initial determination; Requires evidence of confirmation by MD, NP or PA	no	no
SAE determination (i.e., event meets criteria for expedited reporting)	yes	yes	yes	no	If pre-specified in protocol; Otherwise requires evidence of confirmation by MD
Report to sponsor as SAE	yes	yes	yes	no	yes
<b>DRUG/DEVICE</b>					
Documentation of accountability and adherence by review of subject diary and count of test article returned by subject	yes	yes	yes	Only count of patient returns	Pill count and diary reconciliation only
Drug/device receipt from the sponsor, storage, dispensation, destruction, unused returns to the sponsor, and related documentation <sup>2</sup>	no	no	no	yes	no
<b>SOURCE DOCUMENTS</b>					
Writing in subject's medical record or research chart	yes	yes	yes	Pharmacy records only	Research chart only, unless granted institutional access to document in the medical record

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<b>STUDY PROCEDURES</b>					
Subject Registration, IWRS Updates, and/or Randomization ( <i>if other than sponsor or ODQ</i> )	yes	yes	yes	no	In collaboration with MD/PA/NP/Research Nurse
Lab sample processing, shipping or receiving	yes	yes	yes	no	yes
Evaluation of response results	yes	Requires evidence of review with MD directly, by phone or email prior to research-related decisions	no	no	no
Assessment of primary study endpoints	yes	Requires evidence of review with MD directly, by phone or email prior to research-related decisions	no	no	no
<b>REGULATORY DOCUMENTS</b>					
Maintain regulatory binder or essential documents	yes	yes	yes	no	yes
<b>CASE REPORT FORMS (CRFs)</b>					
Data transfer from source documents to CRF	yes	yes	yes	no	yes
Sign completed CRF	yes	no	no	no	no
Data query resolution	yes	yes	yes	no	yes
<b>COMMUNICATIONS</b>					
Communications and/or submissions to sponsors, IRB or federal authorities, and DF/HCC	yes	yes	yes	yes	yes

1 - Includes study coordinators, regulatory coordinators, and other non-medically licensed research personnel

2 – If not managed by pharmacy, responsible party should be listed on the protocol-specific delegation log